



UT20 Rec'd PCT/PTO 28 AUG 2003

PCT  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application of:**

Eb et al.

**Serial No.:** 08/973,560

**Filed:** December 5, 1997

**For:** P-53 BINDING PROTEIN CALLED  
MDMX AND ENCODING SEQUENCES  
FOR SAID PROTEIN

**Examiner:** To be assigned

**Group Art Unit:** To be assigned

**Attorney Docket No.:** 2578-3839US

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 25, 2003  
Date

*Betty Vowles*  
Signature

Betty Vowles  
Name (Type/Print)

STATUS INQUIRY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

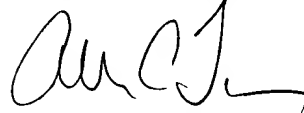
1. More than 9 months have passed since the receipt by applicant of the Decision on Petition granting the Petition to Revive on April 7, 1999 (copy attached). No communication has been received from the Patent and Trademark Office indicating action on this application since that Decision. A Filing Receipt has not been received by Applicant's Attorney.

2. Kindly advise the undersigned of the present status of this application by checking the appropriate box on the bottom of this page. A stamped return-addressed envelope is provided.

Serial No. 08/973,560

3. Applicant submits herewith a Change of Correspondence Address (Form PTO/SB/122) to associate the Customer Bar Code with the application so that action on this case can be viewed on the PAIR system.

Respectfully submitted,



Allen C. Turner  
Registration No. 33,041  
Attorney for Applicant(s)  
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Telephone: 801-532-1922

Date: August 25, 2003

ACT/bv

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Enclosures: Duplicate copy of Status Inquiry  
Stamped return-addressed envelope  
Copy of Decision on Petition  
Change of Correspondence Address

**STATUS INQUIRY REPLY**

APPLICATION SERIAL NO. 09/901,836 IS CURRENTLY:

- ☐ Assigned to group art unit \_\_\_\_\_ and awaits:
- ☐ Action by the Examiner
- ☐ Applicant's response to the Office Action mailed \_\_\_\_\_



07 APR 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Patent Cooperation Treaty Legal Office

Address: Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Allen C. Turner  
Trask Britt & Rosa  
230 South 500 East, Suite 300  
Salt Lake City, Utah 84102

RECEIVED

APR 12 1999

Trask Britt & Rossa

In re Application of  
VAN DER EB et al.  
Application No.: 08/973,560  
PCT No.: PCT/NL96/00239  
Int. Filing Date: 13 June 1996  
Priority Date: 13 June 1995  
Attorney Docket No.: INGE.006.00US  
For: p-53 BINDING PROTEIN CALLED Mdmx  
AND ENCODING SEQUENCES FOR SAID  
PROTEIN

:  
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:  
: DECISION ON PETITION  
:  
: UNDER 37 CFR 1.137(b)  
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This is in response to applicants' petition to revive an unintentionally abandoned international application under 37 CFR 1.137(b) filed 06 April 1998 and the request for refund received 13 February 1998. The request for refund received 13 February 1998 is dismissed for the reasons discussed below. The petition to revive is granted.

**BACKGROUND**

Applicants were required to pay the basic national fee of \$930 by 15 December 1997 to avoid abandonment of this application.

On 05 December 1997, applicants filed a transmittal letter for entry into the national stage. The transmittal letter included an authorization to charge any required fees to Deposit Account No. 18-0020. However, when the Patent and Trademark Office attempted to charge the required fees to Deposit Account No. 18-0020 on 05 February 1998, there was no money in the deposit account.

On 12 February 1998, applicants filed a declaration in compliance with 37 CFR 1.497 and a small entity surcharge of \$65.

On 13 February 1998, with a certificate of mail date of 05 February 1998, applicants filed small entity statements and request for refund of half of the fees paid on 05 December 1997, or \$600.

On 24 March 1998, the United States Designated/Elected Office (DO/EO/US) mailed a Notification of Abandonment indicating that the application was abandoned for failure to pay the basic national fee within 30 months from the priority date. The Notification stated that the account was empty.

On 06 April 1998, applicants filed a petition to revive the application under 37 CFR 1.137(b). The petition is accompanied by authorization to charge \$1,245 (\$600 for the basic national fee and multiple dependent claim fee and \$645 for the petition to revive fee) and any required fees.

### DISCUSSION

The instant application became abandoned on 16 December 1997 for failure to pay the basic national fee before the expiration of 30 months from the priority date.

#### *Petition Under 37 CFR 1.137(b)*

Under 37 CFR 1.137(b), a petition requesting that an application be revived on the grounds of unintentional abandonment must be accompanied by (1) the required reply unless it has been previously submitted, (2) the fee set forth in 37 CFR 1.17(m), (3) a statement that the "entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional" and (4) a terminal disclaimer, in an application filed before 08 June 1995.

With respect to item (1) above, the required reply, with a small entity statement, was payment of the basic national fee of \$465, which has been charged to counsel's deposit account number 18-0020, as authorized in the petition. As to item (2), the required small entity petition fee under 37 CFR 1.17(m) at the time this petition was filed was \$660. Accordingly, \$660 has been charged to deposit account number 18-0020. As to item (4), as the international application was filed after 08 June 1995, a terminal disclaimer is not required. As to item (3), the statement "[t]he abandonment was unintentional" is interpreted as a statement that the entire delay from 15 December 1997 when the basic national fee was due until the filing of this petition was unintentional. If this is an incorrect interpretation in view of the rules, applicants are required to submit a statement to that effect. Accordingly, the instant petition meets all of the requirements of 37 CFR 1.137(b).

*Request for Refund*

The request for refund received 13 February 1998 requests that half of the fees paid on 05 December 1998 be refunded to applicant under 37 CFR 1.28(a). This request is dismissed, as no payment of fees was made on 05 December 1998. As discussed above, when the Patent and Trademark Office attempted to charge the required fees to Deposit Account No. 18-0020 on 05 February 1998 in accordance with the authorization received 05 December 1997, there was no money in the deposit account. Accordingly, no fees were paid in this application until 12 February 1998, at which time a check for \$65 for the surcharge under 37 CFR 1.492(e) was received. It is noted that the small entity statements submitted on 13 February 1998 are sufficient to establish small entity status. As the only fees paid in this application are small entity fees, it would not be appropriate to grant any request for refund under 37 CFR 1.28(a). Applicants' request for refund is, therefore, dismissed.

*Fee for corrected filing receipt*

On 22 June 1998, applicants filed a request for a filing receipt and, in the event that a filing receipt had already been mailed to applicants at the correct correspondence address, a request for a duplicate filing receipt. The request included an authorization to charge counsel's deposit account \$25 for a duplicate filing receipt if a filing receipt had already been mailed. As a filing receipt had not yet been mailed, this \$25 fee was charged to the deposit account in error and has been refunded.

**CONCLUSION**

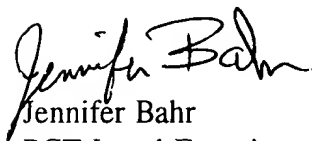
The petition to revive under 37 CFR 1.137(b) is **GRANTED**.

Fees of \$465 for the basic national fee, \$135 for multiple dependent claims and \$660 for the petition to revive have been charged to deposit account number 18-0020, as discussed above. The fee of \$25 charged on 26 June 1998 for a request for a duplicate filing receipt was charged in error, as discussed above, and will be credited to deposit account number 18-0020.

The request for refund is dismissed.

Receipt of a request for permission to withdraw under 37 CFR 1.36 on 12 November 1998 is acknowledged. This request has been held in abeyance pending the decision on the petition to revive the application. It is noted, however, that the request to send all correspondence to Allen C. Turner has been treated as a change of correspondence address pending the decision on the request for withdrawal.

This application will be held in the PCT Legal Office for a decision on the request for permission to withdraw, which will be forthcoming.

A handwritten signature in black ink, appearing to read "Jennifer Bahr". The signature is fluid and cursive, with the first name "Jennifer" written in a larger, more prominent script than the last name "Bahr".

Jennifer Bahr  
PCT Legal Examiner  
PCT Legal Office

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